



City of Utica, New York

2021-2022 Consolidated Annual Performance and Evaluation Report

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Adapting to Societal Changes due to the On-going COVID-19 Pandemic

The pandemic continued to frame our outlook and influence our operations throughout the 2021-2022 program year, especially with the spikes caused by both the Delta and Omicron variants. Programs focused in areas where society as a whole has seen a increase in need - whether that involves more support for survivors of domestic violence who need emergency legal services, the expansion of child care for working families that need extended and non-traditional hours of care for their children, or services for those experiencing mental health issues. The City provided funding in the 2021-2022 program year to those service providers that offered these critical programs to those in need during a deeply troubling time. In addition to public services, the City was able to continue public infrastructure improvements without disruption such as street repaving and residential sidewalk repairs.

Demolitions

The 2021-2022 program year marks another year in the use of CDBG funds for selective demolition of larger buildings. This program year brought about the completion of the demolition of 101 Mathews Avenue (\$125,550). A long-abandoned 10-unit apartment complex that is located at the corner of Mathews Avenue and Erie Street in the West Utica neighborhood. The building had been vacant and slowly decaying for the better part of the last two decades. The site is now available for reuse to assist with the economic development occurring along Erie Street and Oriskany Street West.

Westside Senior Center Rehabilitation

During this program year, the City provided funding to undertake the first phase of a multi-phase rehabilitation program for the Westside Senior Center building. This community asset, located at 717 Court Street, is home to the West Utica Neighborhood Association and is in need of significant repair. This investment of CDBG funds (\$60,000) was supported by an additional investment of \$1,000,000 through funds received by the American Rescue Plan.

Historic Preservation

A key project supported in the 2021-2022 Program Year was the replacement of the roof at the historic Uptown Theatre. The Uptown Theatre is an anchor building in the City's uptown neighborhood that if lost would severely impact a neighborhood and surrounding commercial district that has been in decline in recent years. Funding to stabilize the roof of this historic structure will allow the owners to continue their interior rehabilitation work and revitalize a community asset that provides year-round programming and activity, while also assisting the City with preventing further neighborhood decline.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Administration, Planning and Management	Administration	CDBG: \$	Other	Other	1	0	0.00%	1	1	100.00%
Community Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15000	3500	23.33%	3000	3500	116.67%
Community Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	100	25	25.00%	20	25	125.00%

Community Development	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	17500	9108	52.05%	3500	9108	260.23%
Community Development	Non-Housing Community Development	CDBG: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	100	0	0.00%	20	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	50	0	0.00%	10	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Brownfield acres remediated	Acre	20	0	0.00%	4	0	0.00%
Community Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	20	0	0.00%			
Community Development	Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	20	0	0.00%	4	1	25.00%
Economic Development	Economic Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	0	0		0	0	
Economic Development	Economic Development	CDBG: \$	Jobs created/retained	Jobs	0	22		0	14	
Economic Development	Economic Development	CDBG: \$	Businesses assisted	Businesses Assisted	30	6	20.00%	6	1	16.67%

Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Rental units constructed	Household Housing Unit	0	0		20	0	0.00%
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Rental units rehabilitated	Household Housing Unit	0	0		20	0	0.00%
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Homeowner Housing Rehabilitated	Household Housing Unit	0	0		12	0	0.00%
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	150	67	44.67%			
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Homeless Person Overnight Shelter	Persons Assisted	1000	576	57.60%			
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	50	44	88.00%			
Homelessness	Homeless	ESG: \$ / ESG- CV: \$	Housing for Homeless added	Household Housing Unit	20	0	0.00%			
Housing	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	100	0	0.00%	20	60	300.00%
Housing	Affordable Housing	HOME: \$	Rental units rehabilitated	Household Housing Unit	100	0	0.00%	20	66	330.00%

Housing	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	25	0	0.00%			
Housing	Affordable Housing	HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	50	0	0.00%	12	17	141.67%
Other Special Needs	Non-Homeless Special Needs	CDBG: \$	Other	Other	500	0	0.00%			

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City of Utica’s 2020 – 2024 Consolidated Plan identified six activities as having the highest priority including: Housing, Homelessness, Other Special Needs, Community Development, Economic Development, and Administration. At the conclusion of the 2021 - 2022 program year, most of the activities should be roughly at or around the 40% completion level as the plan was created based on the assumption that many of the activities would receive multi-year allocations throughout the 5-year plan.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	4,947	10	386
Black or African American	1,680	7	219
Asian	2,277	0	17
American Indian or American Native	200	0	9
Native Hawaiian or Other Pacific Islander	4	0	3
Total	9,108	17	634
Hispanic	1,119	9	79
Not Hispanic	0	8	609

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The numbers in the table above reflect those reported in IDIS along with end-of-year reporting figures, based on reports submitted by CDBG sub-recipients.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	2,996,751	2,266,506
HOME	public - federal	670,143	555,796
ESG	public - federal	218,933	260,887
Other	public - federal	2,355,191	731,286

Table 3 - Resources Made Available

Narrative

The table above shows an increase in funds spent through ESG as a result of expending prior program year funds.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City of Utica CDBG Target Area	100	88	

Table 4 – Identify the geographic distribution and location of investments

Narrative

Virtually all of the activities funded through CDBG and HOME, save for the public services which are expended based on low to moderate family income documentation, are based on location within the City's CDBG Target Area.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

According to the PR33 - HOME Matching Liability Report, the City of Utica has a Match Liability Amount of \$0.00 for the 2020 program year, as it has dating back to 2003. This 100% match reduction is provided based on the fact that the City of Utica has been determined to be under severe fiscal distress. To meet the definition of severe fiscal distress, the City's percentage of families in poverty must exceed 19.9%, which is 125% of the average national rate for families in poverty of 15.54%. Additionally, the City's per capita income must have been less than \$21,268, which is 75% of the average per capita income of \$28,357.

While not formally required, the City of Utica annually tracks the number of funds leveraged by its public service sub-recipient's use of Community Development Block Grant funds. For the 2021 program year, the City leveraged a total of \$2,991,020.00 in funding by supporting public services and non-residential historic preservation through the expenditure of \$437,800 in CDBG funds. This was divided among the following sub-recipients:

Abraham House: \$350,125.00

Compassion Coalition: \$30,000.00

The Center for Family Life and Recovery: \$55,151.00

JCTOD Outreach, Inc.: \$112,320.00

On Point for College: \$283,556.00

The Parkway Center: \$346,596.00

Safe Schools Mohawk Valley: \$334,449.00

United Way: \$443,188.00

Uptown Theatre: \$165,830.00

Utica Center for Development: \$35,000.00

Young Scholars: \$834,805.00

ESG Match Requirements:

The City of Utica’s ESG program requires a 100% match by subrecipients (\$1 match for \$1 of awarded ESG funds). While qualifying sources of match don’t need to be specific to each line item (\$1 in “Salary” doesn’t need to be matched by \$1 in salary from subrecipient agency), overall match must equal the amount awarded in ESG funds, and may come from firm commitment letters, in-hand cash, Federal/State/Local/Private funds, or other documentable expenses by the subrecipient agency.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
6,741	4,635	0	0	11,376

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	1,552,434	1,552,434	0			
Number	0	0	0			
Sub-Contracts						
Number	3	3	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	400	44
Number of Non-Homeless households to be provided affordable housing units	99	126
Number of Special-Needs households to be provided affordable housing units	11	12
Total	510	182

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	116	0
Number of households supported through The Production of New Units	50	60
Number of households supported through Rehab of Existing Units	20	83
Number of households supported through Acquisition of Existing Units	20	0
Total	206	143

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

In reviewing Table 11, the biggest difference between one-year-goal and actual outcomes is the result of the eviction moratorium in place due to the COVID-19 health emergency. A lack of available units to move people into was the biggest obstacle we faced in providing housing to any of the in-need populations.

Two projects assisted by HOME funds opened/reopened in Utica. The Link at Sunset, a modern 60-unit multifamily project for low-income and disabled with a special focus on individuals on the autism spectrum (with 12 units set aside for these individuals), opened in the early months of 2022 and replaces an abandoned and derelict elementary school. The second project was a rehabilitation of the Macartovin Apartments at the corner of Genesee and Devereux St, a 66-unit building for low-income, elderly and disabled tenants. The improvements extended the life of the building for decades, including major repairs to the brick façade, new drywall, flooring, kitchens, bathrooms, and common spaces, replacement of all windows, and restoration of the full-size windows on the northwest and southwest sides of the building.

UNHS Homeownership Center was able to rehabilitate units for 17 households through the Old Utica City and Lead-Safe Utica Homeowner Rehabilitation programs, providing sustainable housing for low-income families.

Other projects mentioned in previous CAPERs are continuing to move forward in the 2022-2023 Program Year, including a full rehabilitation of the Olbiston Apartments on Genesee Street, and the new construction of Johnson Park Center's multifamily apartment building on West Street. Both projects will add an additional 150+ units of affordable housing.

In reviewing Table 12, the City of Utica HOME Program does not provide Tenant-Based Rental Assistance, as we use HOME as either a construction loan and leveraging tool to encourage the production of more rental units or as gap-funding. Otherwise, our actual numbers are not far from our goals or have exceeded the goals. The 33 units rehabilitated were completed by the UNHS Homeownership Center as part of the Lead-Safe Rehab and Old Utica City Rehab programs.

Discuss how these outcomes will impact future annual action plans.

Utica's official HOME Program policy is to formally commit HOME dollars after a project is more fully financed rather than at the beginning of the process, acting as a gap-funding measure.

The Rescue Mission had approached the City for HOME funds to finish the remaining two structures in their "small houses" program that utilizes the Mission's skills-building program to construct new infill housing that will be given away to families in need (the first home was given to a family that had helped build it). As of the conclusion of the 21-22 program year, no formal application has been submitted, but they continue to review their options for moving forward both with this project as well as other new projects.

In the previous CAPER, we reported that the Vecino Group and Municipal Housing Authority plan to team up to rehabilitate a warehouse at the corner of Broad St and Mohawk St into a 90-unit apartment building (to be called "Intrada Utica"), which will use HOME funds. In May 2022, a project team meeting was held in which \$500,000 in HOME dollars was requested for the project. A review of the project and

its underwriting/cost allocation estimates will determine the final amount awarded, which is expected in the 22-23 program year.

Johnson Park Center is nearly ready to enter a HOME agreement for its Phase VII project, which seeks to construct 60 rental units for low-income/elderly/disabled households. They have requested \$500,000 in HOME dollars to complete the construction budget, which will likely be approved in the 22-23 program year.

The UNHS Homeownership Center continues making progress on the rehabilitation of 180 units for lead paint hazards, providing more sustainable and safe housing for low-income housing. The terms of the agreement, however, are proving to be an obstacle. To date, 26 homes have been completed, with an additional 15 currently undergoing rehabilitation. All homes to date have required significantly more investment than the program initially predicted. As it was unlikely that 180 units would be rehabilitated in the remaining time limit, the HOC requested to revise projections and rehabilitate a smaller number of units in order to allow for the full expenditure of grant funds on units without having to deny applicants that are most in need.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	10
Low-income	0	128
Moderate-income	0	5
Total	0	143

Table 13 – Number of Households Served

Narrative Information

Households served through the HOME Program during the 2021-2022 program year consist of households in the Macartovin Apartments and Link at Hage House multifamily projects, as well as in UNHS Lead-Safe Utica and Old Utica City Homeowner Rehabilitation programs.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City's 2021-2022 ESG grant included funding for the Utica Municipal Housing Authority's Street Outreach program. The program hires three staff members through AmeriCorps to conduct outreach into areas with known homeless populations (including shelters and encampments), developing a rapport, and guiding individuals to services and shelters, including the low-barrier drop-in centers established in the 21-22 program year using ESG-CV funds granted by the CARES Act. The program was also able to utilize unspent funds from previous allocations to purchase a new transport vehicle to better assist their program. The Street Outreach activity will be renewed for the 2022-2023 program year.

Addressing the emergency shelter and transitional housing needs of homeless persons

While Transitional Housing is no longer a qualifying activity, the City's 2021-2022 ESG grant included funding for shelter operations through the Johnson Park Center, the Rescue Mission of Utica, St. Margaret's Corporation (Emmaus House), Catholic Charities (Grady's Way), and the YWCA (Hall House DV Shelter). These shelters provide overnight lodging, on-site support services, meals, and staff trained in crisis management and harm-reduction. These shelters are meant to be a temporary solution to the immediate needs of homelessness, with other ESG-funded programs meant to assist the homeless population to the next step, which is permanent housing.

Rapid Rehousing programs through the Utica Center for Development, ICAN and the Municipal Housing Authority operate with the goal of finding housing for the homeless in shelters, and providing assistance with Security Deposits and Utilities to transition individuals from temporary shelter to permanent housing. In the 21-22 program year, two additional low-barrier daytime shelters were opened by a partnership between the Municipal Housing Authority, Rescue Mission, and the Salvation Army using funds provided by the CARES Act of 2020. To date, thousands of unduplicated units of service have been reported, including meals and access to other service organizations. Hundreds have obtained identification documents, gone back on medications and mental health treatments, or have found permanent housing.

The City relies on subrecipient reporting of intake and assistance data to the HMIS database to determine the results of the previous program years' efforts, which allows us to make strategic adjustments when planning future activity funding.

Helping low-income individuals and families avoid becoming homeless, especially extremely

low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City's 2021-2022 ESG grant included funding for a Homeless Prevention program through Utica Center for Development. This program allows funds for utility expenses and rental arrears to prevent eviction and subsequent homelessness. In the past several years, the program has grown in the number of service requests, and funding has increased as well. From \$4,000 in 2019-20 to \$30,000 in the 2022-23 program year, this service has become a lifeline for many at risk of homelessness.

At the conclusion of the 2021-2022 program year, the subrecipient had spent all available Homeless Prevention funds, and had a waiting list of dozens of applicants in need of emergency assistance. The increase in funds will support up to 15 additional households.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The service organizations that partner with the City of Utica through the ESG funding (The Continuum of Care) all share this common mission, and in the most extreme cases, have worked together to ensure the mission is met. Of homeless individuals that pass through these programs from shelter to permanent housing, 90% successfully remain stable and off the streets (data provided by HMIS reporting at the conclusion of the 2021-2022 program year).

All subrecipient programs (Rapid Rehousing, Homeless Shelter, Homeless Prevention, and Street Outreach) are tools in our arsenal that are used to combat the rising threat of homelessness, especially in the years during and immediately following the ongoing COVID-19 pandemic.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

- Completed the rebranding process of the Utica Municipal Housing Authority to People First;
- Upgraded its website to make it more people-friendly and useful for the public;
- Installed self-service features on the website such as submitting online housing applications;
- Begun the implementation of the Rental Assistance Demonstration Program (RAD), mixed-finance, and Section 18 demolition/disposition planning activities to finance improvements to the housing authority's unit inventory;
- Held resident meetings at each development to obtain input from residents on proposed RAD, mixed-finance, and Section 18 activities;
- Received funding from HUD to implement a Mainstream Section 8 rental assistance program for the homeless/at-risk disabled population;
- Served as the lead community agency in conjunction with Oneida County DSS to rehouse the displaced Olbiston residents;
- Upgraded the Adrean Terrace community garden in the memory of the late resident leader Stephanida Zhushma;
- Received funding in the amount of \$1,730,000 from the NYS for rehabilitation activities associated with the Chancellor Apartments;
- Received HUD funding from the City of Utica to oversee a partnership of agencies to provide daytime drop-in centers for the homeless;
- Obtained a grant of \$250,000 from the HUD for the installation of carbon monoxide detectors at the Adrean Terrace and Gillmore Village AMPS;
- Completed construction on a \$16 million affordable housing project – Roosevelt Residences – consisting of 50 scattered-site units (including 25 PBV units, 10 HOME units, and 8 units reserved for homeless veterans and the chronically homeless);
- Achieved full occupancy of the Roosevelt Residences development;
- Delivered breakfast and lunches to children at public housing sites to compensate for school closings;
- Received a HUD Emergency Safety and Security Funding grant in the amount of \$248,710 for security improvements;
- Implemented direct COVID-19 emergency food deliveries and distribution to vulnerable senior and disabled residents;
- Conducted wellness calls to senior and disabled residents to help them with any concerns during the pandemic;
- Completed construction with our co-developer – Construction Services, LLC – of a \$13 million Pioneer Village Utica College student housing complex;
- Received renewal funding from the City of Utica Emergency Solutions Grant to operate our

community's first homeless street outreach program;

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Engage Public Residents as Partners in Housing Management

Resident engagement is the foundation of successful and vibrant public housing communities. It fosters transformative relationships and increased ownership necessary to build communities of opportunity. Engagement brings meaning and relevance to housing management goals across a broad spectrum of players, and it encourages local innovations in supportive and affordable housing through creative problem-solving.

The UMHA made significant progress to empower residents:

- On behalf of its resident associations, the UMHA submitted successful funding applications for the Adrean-Matt Resident Association and the Gillmore-Humphrey Resident Association to renew their ROSS grants;
- The UMHA continues to provide technical assistance to its four resident associations - Adrean-Matt Resident Association, Gillmore-Humphrey Resident Association, Marino-Perretta Resident Association, and the Chancellor Apartments Tenant Association;
- Leadership training has been provided to resident associations to help them run meetings, comply with New York State not-for-profit corporation laws, and conduct their own fund development activities;
- Provided training through Legal Services of Central New York on tenant rights and responsibilities;
- Created tenant association newsletters to communicate information regarding community programs and services;
- Established an outreach center at the Utica Public Library to reach low-income tenants;
- The UMHA's new nonprofit corporation – Central New York Community Solutions – received its first major grant from the New York State Office of Temporary and Disability Assistance to provide supportive services to the homeless residents of Roosevelt Residences

Homeownership

The Municipal Housing Authority of the City of Utica, New York (UMHA) has administered homeownership development programs funded by the United States Department of Housing and Urban Development HOPE VI, Replacement Housing Factor, and HOME programs. Under the Section 32 Program, the UMHA successfully completed the development of eight homeownership units which were financed through the Second Increment Replacement Housing Factor funding and the City of Utica HOME Program. The UMHA has completed the development and sale of 40 homeownership units

through its HOPE VI program. An additional seven units have been developed in the West Utica area.

The Utica Municipal Housing Authority continues to monitor homes sold in our HOPE VI and other homeownership production program to ensure that owners comply with the terms of their regulatory agreements. Owners must obtain authorization from the UMHA if they wish to sell or refinance the properties. The UMHA conducts annual monitoring visits of the homes and assists owners who are facing foreclosure or difficulty in paying their mortgage payments and taxes.

Through its AmeriCorps-funded Housing Opportunity Center, the housing authority helps public housing residents and low-income households to access homeownership training and purchase programs.

On an annual basis, the AmeriCorps Housing Opportunity Center at the Utica Municipal Housing Authority holds a free workshop, "Thinking about Buying a Home?"

Through the AmeriCorps Opportunity Center, the UMHA provides pre-homeownership counseling and referrals. It works closely with the HomeOwnership Center in Utica to provide financial empowerment counseling and homeownership education.

Actions taken to provide assistance to troubled PHAs

The Utica Municipal Housing Authority is not a trouble PHA. Therefore, this question is not applicable.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City of Utica adopted a new Zoning Code in February 2020. A key aspect of the updated zoning code is the use of a form-based code within the City's central business district - a key recommendation found in the City's Comprehensive Plan - that will allow for greater density, in-fill development, and opportunities for affordable housing projects.

Through funds received under the American Rescue Plan, the City conducted a city-wide housing needs assessment to analyze current and projected demographics and household characteristics, forecast future housing needs throughout the community for all levels of income, and develop a plan to implement the recommendations brought forth through the assessment. The housing study was completed in early June 2022 and provides the City with a data-driven housing policy and investment framework as well as strategic opportunities to respond to the city's housing market realities, focusing on multi-pronged interventions that will grow the city's capacity to address housing needs and create good housing opportunities for households across the income spectrum.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

For the past several years, City staff from numerous departments, including the Department of Urban & Economic Development, have been working to advance a plan by Mohawk Valley Health System (MVHS) to construct a single, consolidated health care center (hospital) in Downtown Utica. MVHS finished site clearing within the footprint of the hospital building early in 2020, and construction commenced later in the summer months. Construction is expected to conclude near the conclusion of the 2022-23 program year. The new hospital will replace St. Elizabeth's (Utica) and St. Luke's (New Hartford). Once opened, the hospital will bring 3,000 employees into Downtown Utica on a daily basis and will result in a more vibrant downtown as well as increase progress toward a "walkable community" with vital amenities for all household types within walking distance.

In November 2019, the City was awarded a \$10 million Downtown Revitalization Initiative grant offered by New York State to one municipality in each of the State's regional economic development council districts. After a year of planning, deliberation, and consideration, 16 project sites were selected for funds. Each project is expected to contribute to restoring the fabric of downtown Utica for all income/household/ethnic types, including public art, streetscapes, and pedestrian pathways, businesses that will expand or replace vacant spaces, and improve the quality of life for many. Progress on this initiative continued during the 2021-22 program year and will continue through the 2022-23 program

year.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City, in partnership with the Community Foundation of Oneida and Herkimer Counties and other membership organizations of the “Lead-Free Mohawk Valley” committee, was awarded \$3.5 million in 2018 for funding through the Lead-Based Paint Hazard Reduction Program from the United States Department of Housing & Urban Development (HUD). The grant funds are being utilized to address lead hazards in 180 housing units in the City of Utica. Units must be occupied by children under 6 years old, or a residence where children under 6 years old spend a significant amount of time.

The grant was originally intended to be administered over the course of three years, however, the deadline was extended due to the COVID-19 health emergency. Progress will continue during the 2022-23 program year, and will continue to abate the lead hazards that threaten hundreds to thousands of children and families over time.

As stated in part CR-20, to date, 26 homes have been completed, with an additional 15 currently undergoing rehabilitation. The cost of rehabilitation needed greatly exceeds the estimate predicted by HUD, as most homes in the oldest parts of the City are much larger than suburban single-family homes, and are in much greater states of disrepair than anticipated. Lead funds are used in tandem with other braided fund types to accomplish as much rehabilitation as possible, but some households are still slipping between the cracks due to the amount of work needed.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

In the 2021-2022 program year, the City continued to fund the United Way and the Empire State Poverty Reduction Initiative (ESPRI) in the amount of \$50,000 to hire staff for their extended childcare program. Additionally, the City supported the programs and services of OnPoint for College and the Utica College Young Scholars Program, both of which provide services to income-eligible high school youth to help them succeed academically, enroll in college, and attain an education that will provide them with opportunities for gainful employment upon graduation.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

In the 2021-2022 Program Year, there were no new actions undertaken to further develop the institutional structure.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Close coordination with the Utica Municipal Housing Authority and other private housing and social service agencies is a necessity in order to assist the low-to moderate-income residents of the City of

Utica. Various partnerships that were formed over the past several years continue working together to address the needs of the city and its aging housing stock.

Many of those agencies regularly attend the regular meetings of the Mohawk Valley Housing and Homeless Coalition, which is the Continuum of Care for the Utica-Rome area. The City of Utica sends three representatives to those regular meetings – one from the City’s Section 8 program and the Housing Development Specialist and Community Development Specialist from the Department of Urban & Economic Development.

In addition to the Continuum of Care, the City also participates in a number of other regional working groups that require close coordination between the City and public and private housing and social service agencies. For instance, the Lead-Free MV coalition that has been developed by The Community Foundation of Herkimer & Oneida Counties, LLC and discussed above, boasts a membership of nearly fifty entities, many of whom are public and private housing and social service agencies.

As stated in the 2021-2022 Action Plan, the City’s work through NY’s Empire State Poverty Reduction Initiative (The Greater Utica Anti-Poverty Initiative), the Lead-Free MV initiative, and other partnerships will continue to bring together various public and private housing and social services agencies with various City departments in an effort to end poverty in the City of Utica.

In response to the COVID-19 pandemic, all agencies have committed to maintaining collaborative meeting schedules. While in-person meetings were suspended during the 2020-21 Program Year due to COVID social distancing protocols, Zoom virtual meetings have temporarily been used to ensure regular communication continues. This has been in practice since early March 2020 and still largely continues in June 2022.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City of Utica contracted with CNY Fair Housing, Inc. out of Syracuse to assist the City in addressing impediments to fair housing choice as identified in the City’s Analysis of Impediments (AI); a renewal of this analysis was completed in the 2020-2021 program year. A high-priority impediment to fair housing that was identified was "Insufficient affordable housing in a range of unit sizes". In the 2021-2022 Program Year, the City moved to address this impediment through significant investment in affordable housing with funds received through the American Rescue Plan Act. The City allocated \$1.15 million towards the development of Artspace Utica, an Artspace Projects Inc. development to construct a new affordable housing project that will provide live/work space for up to 40 artists and their families. The City also committed \$3 million to the rehabilitation of the historic Olbiston Apartment building to create upwards of 80 affordable housing units for the City’s low- to moderate-income residents and families. Additionally, the City is working with People First (formerly Utica Municipal Housing Authority) and the Community Foundation of Herkimer and Oneida County to create a mixed-use development that will have ground floor retail to also serve as business incubation space and provide job training with the

upper two floors offering housing units for low/mod income persons and families. Finally, at the end of the 2021-2022 Program Year, the City initiated a city-wide Housing Needs Assessment - the first of its kind in Utica's history - to analyze current and projected demographics and household characteristics, project future housing needs throughout the community for all levels of income, and propose a plan to implement the recommendations.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

CDBG public services programs are focused on meeting the needs of low/moderate income individuals and households. These funds provide assistance and opportunities for low/mod income families. Programs within our community would serve significantly lower numbers of people if CDBG funds were not available. Throughout the funding cycle and awarding of CDBG funds, the City works towards directing funding to projects that meet the goals and objectives in our annual plan.

The City of Utica ensures that CDBG/ESG/HOME subrecipients maintain financial, statistical, and programmatic records of their operations and financial activities in accordance with HUD regulations. Remote monitoring of subrecipients occur on an on-going basis with the submission of reimbursement requests with required supporting documentation and quarterly program reports. All reimbursement requests and documentation are reviewed by staff for accuracy, completeness, and compliance with approved budget and program guidelines. As an added control, quarterly reports must be current in order to receive reimbursement payments. City staff review the quarterly program reports to ensure work is being carried out as described in the application and agreement. City staff also examine the program reports and financial information submitted in order to conduct risk assessments, ensure production, accountability, and to evaluate each agency's organizational and project performance.

Utilizing checklists developed for each activity/project, Department staff conduct the off-site monitoring by reviewing each subrecipient file. Within thirty (30) days of an off-site monitoring, staff will provide written comments to the subrecipient. The letter includes, at a minimum, the date of review, results of the monitoring (satisfactory performance, concern or finding), a statement describing the basis for each conclusion, instructions on what the recipient must do to address any area of concern or finding and a deadline by which the recipient must respond. In extreme cases of non-compliance, staff must determine if an additional on-site monitoring visit is necessary before an issue can be adequately resolved. In all cases, staff will work with recipients to come to a satisfactory resolution.

A small number of subrecipients were granted contract extensions to carry out the remainder of their programming which was affected by the pandemic. A majority of the youth and senior in-person programs were in the process of re-opening due to being closed to meet the CDC's pandemic guidelines. It has been challenging for these agencies to re-establish their programs and attract pre-pandemic participants. Budget modifications were also made to reflect the change in expenses such as online programming which continues to take place in some of the programs. This accommodated the

youth while they were receiving online classes along with wellness checks for seniors.

The City of Utica focuses on areas of eligibility of beneficiaries and expenditures for the on-site monitoring. Overall, the programs and projects are in good standing at this time with very few concerns addressed.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Citizens will be provided with a minimum of fifteen (15) days to receive comments on the performance reports that are required to be submitted to HUD before their submission with a notice on the City's website, social media sites, posting on the City bulletin board, Section 8 Department, Utica Municipal Housing sites and/ or via a legal advertisement in the Observer Dispatch. All comments or views of citizens received in writing, or orally at public hearings in preparing the performance report will be considered and a summary of these comments shall be attached to the performance report.

The performance reports will be available to the public (electronic or paper copies), including the availability of materials in a form accessible to persons with disabilities, upon request at City Hall, 1 Kennedy Plaza, Department of Urban and Economic Development, 2nd Floor.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

This program year was the second of our 2020-2024 Consolidated Plan and the program objectives of our five-year con-plan remain the same.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Johnson Park Center: Johnson Park Apts., Phase VI (1498). No issues were observed with project files and records. The physical condition of units is well-maintained. Previously-monitored programs included Phase II and Phase III, which concluded several years ago.

UNHS Homeownership Center: The Steuben Street Rehab (formerly Grant Street Rehab – 1640), as well as the Lead-Safe Paint Hazard Reduction Program (1866/1867) were monitored in 2021. Center City Rental and Homeowner Rehab, UNHS Homeowner Rehab, and Old Utica City Rehab were monitored in 2022. Previously-monitored programs include the SAFE Program Year I and Year II.

Housing Visions: Genesee Crossings (1397-1409). Genesee Crossings is monitored annually. There have not been any issues with the maintenance of physical property or records.

Vecino Group: Asteri Apartments (1664). Asteri Apartments is monitored annually. There have not been any issues with the maintenance of the physical property.

Kelberman Center: The Link at Hage House (1785). The Link at Hage House project is monitored bi-annually and was monitored for the first time in 2022. As the project had been recently completed, the physical condition of the units as well as the common areas are in great shape. There are no issues with the maintenance of physical property or tenant records.

PathStone: Macartovin Apartments (1683). Macartovin Apartments is monitored bi-annually and was monitored for the first time in 2022. As the project had been recently completed, the physical condition of the units as well as the common areas are in great shape. There are no issues with the maintenance of physical property or tenant records.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

In accordance with Community Development Block Grant (CDBG) and HOME Investment Partnership Program (HOME) regulations and in furtherance of the City of Utica's commitment to non-discrimination and equal opportunity in housing, the City of Utica has established procedures to affirmatively market units acquired, rehabilitated, constructed or otherwise assisted under the CDBG and/or HOME

Programs. The City of Utica is committed to the goals of non-discrimination and equal access. In addition, the City of Utica is committed to the goal of increasing housing opportunities for those with limited English proficiency, low-income residents, and under-represented ethnic and racial groups.

The City of Utica, by and through the Department of Urban and Economic Development, actively promotes fair housing through:

- Ongoing funding of fair housing services
- Adoption and implementation of an “Analysis of Impediments to Fair Housing Choice” which was most recently completed in preparation for the 2020-2024 Consolidated Plan.
- Adoption of “Consolidated Plan” for CDBG/HOME program, with an analysis and strategy for fair housing every five years, and in the Annual Action Plan each year.
- Annual monitoring of fair housing activities through monitoring of fair housing services and reporting on ongoing activities in its Consolidated Annual Performance and Evaluation Report (CAPER)

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

In reviewing PR27, a total of \$0 was authorized in program income for the City of Utica for administrative purposes in the 2021-2022 program year.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

In the past several years, the City has witnessed a renewed interest in the development of housing in all neighborhoods of the City and for a variety of housing types and target incomes.

Many of the projects, particularly those focused on low-to-moderate-income persons and families provide for the inclusion of Low Income Housing Tax Credits as part of the financing. Invariably, the developers also ask for the City to commit HOME or CDBG dollars to the project. Additionally, many developers also seek Payment In Lieu Of Tax (PILOT) arrangements for the duration of the project as well, either directly through the Common Council or through the Utica Industrial Development Agency (UIDA).

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	0	0	0	0	0
Total Labor Hours	0	0	0		
Total Section 3 Worker Hours	0	0	0		
Total Targeted Section 3 Worker Hours	0	0	0		

Table 14 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers	0	0	0		
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	0	0	0		
Direct, on-the job training (including apprenticeships).	0	0	0		
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.	0	0	0		
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).	0	0	0		
Outreach efforts to identify and secure bids from Section 3 business concerns.	0	0	0		
Technical assistance to help Section 3 business concerns understand and bid on contracts.	0	0	0		
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.	0	0	0		
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.	0	0	0		
Held one or more job fairs.	0	0	0		
Provided or connected residents with supportive services that can provide direct services or referrals.	0	0	0		
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.	0	0	0		
Assisted residents with finding child care.	0	0	0		
Assisted residents to apply for, or attend community college or a four year educational institution.	0	0	0		
Assisted residents to apply for, or attend vocational/technical training.	0	0	0		
Assisted residents to obtain financial literacy training and/or coaching.	0	0	0		
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.	0	0	0		
Provided or connected residents with training on computer use or online technologies.	0	0	0		
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.	0	0	0		
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.	0	0	0		

Other.	0	0	0		
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Table 15 – Qualitative Efforts - Number of Activities by Program

Narrative

No programs in the 2021-2022 Program Year provided training, employment, contracting, or other economic opportunities to low- and very low-income persons.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	UTICA
Organizational DUNS Number	010759959
UEI	
EIN/TIN Number	156000418
Identify the Field Office	BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Utica/Rome/Oneida County CoC

ESG Contact Name

Prefix	Mr
First Name	Mike
Middle Name	
Last Name	Peek
Suffix	
Title	Housing Development Specialist

ESG Contact Address

Street Address 1	1 Kennedy Plaza
Street Address 2	
City	Utica
State	NY
ZIP Code	13502-
Phone Number	3155340399
Extension	
Fax Number	

Email Address

mpeek@cityofutica.com

ESG Secondary Contact

Prefix

First Name

Last Name

Suffix

Title

Phone Number

Extension

Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 04/01/2021

Program Year End Date 03/31/2022

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: UTICA

City: Utica

State: NY

Zip Code: 13502, 4234

DUNS Number: 010759959

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 16420

Subrecipient or Contractor Name: JOHNSON PARK CENTER

City: Utica

State: NY

Zip Code: 13501, 4439

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 32000

Subrecipient or Contractor Name: YWCA OF THE MOHAWK VALLEY

City: Utica

State: NY

Zip Code: 13502, 4606

DUNS Number: 077297562

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 33000

Subrecipient or Contractor Name: Rescue Mission

City: Utica

State: NY

Zip Code: 13501, 3618

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 25000

Subrecipient or Contractor Name: Utica Municipal Housing Authority

City: Utica

State: NY

Zip Code: ,

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 53000

Subrecipient or Contractor Name: Utica Center for Development

City: Utica

State: NY

Zip Code: 13502, 4328

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 4000

Subrecipient or Contractor Name: ICAN

City: Utica

State: NY

Zip Code: 13501,

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 60478

Subrecipient or Contractor Name: St. Margaret's Corporation

City: Utica

State: NY

Zip Code: 13501, 4401

DUNS Number: 010719404

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 7000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	3
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	3

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	72
Children	12
Don't Know/Refused/Other	0
Missing Information	0
Total	84

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	504
Children	72
Don't Know/Refused/Other	0
Missing Information	0
Total	576

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	76
Children	2
Don't Know/Refused/Other	0
Missing Information	0
Total	78

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	657
Children	86
Don't Know/Refused/Other	0
Missing Information	0
Total	743

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	448
Female	199
Transgender	6
Don't Know/Refused/Other	1
Missing Information	0
Total	654

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	86
18-24	103
25 and over	554
Don't Know/Refused/Other	0
Missing Information	0
Total	743

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	40	0	0	0
Victims of Domestic Violence	107	0	0	0
Elderly	42	0	0	0
HIV/AIDS	10	0	0	0
Chronically Homeless	114	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	398	0	0	0
Chronic Substance Abuse	176	0	0	0
Other Disability	377	0	0	0
Total (Unduplicated if possible)	1,264	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	24,425
Total Number of bed-nights provided	84
Capacity Utilization	0.34%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Shelters operated at capacity for the duration of the 2021-2022 Program Year, though the numbers aren't reflected accurately in the chart. The contracts executed list the total number of bed nights anticipated in a year (24,425) and reports from all shelters indicating operating at capacity all year, while the data provided by the HMIS database reflect the number of people who chose to stay when an overnight option was available (without specifying the number of nights stayed). Capacity Utilization is over 90% according to the CoC's HMIS coordinator. Per Performance Standards, the shelters are meeting their obligations outlined in their contracts.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2019	2020	2021
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2019	2020	2021
Expenditures for Rental Assistance	5,610	34,444	219,570
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	145	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	5,755	34,444	219,570

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2019	2020	2021
Essential Services	139,190	48,500	98,072
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	139,190	48,500	98,072

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2019	2020	2021
Street Outreach	33,759	21,420	45,909
HMIS	10,000	10,000	10,000
Administration	14,607	16,181	36,529

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2019	2020	2021
	203,311	130,545	410,080

Table 29 - Total ESG Funds Expended

11f. Match Source

	2019	2020	2021
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	135,861
State Government	0	0	397,828
Local Government	0	0	363,800

Private Funds	0	0	104,734
Other	1,102,017	1,186,734	222,622
Fees	0	0	119,283
Program Income	0	0	0
Total Match Amount	1,102,017	1,186,734	1,344,128

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2019	2020	2021
	1,305,328	1,317,279	1,754,208

Table 31 - Total Amount of Funds Expended on ESG Activities