

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.cityofutica.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.cityofutica.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2800(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	www.cityofutica.com
6. Are any Authority staff also employed by another government agency?	Yes	City of Utica
7. Has the Authority posted their mission statement to their website?	Yes	www.cityofutica.com
8. Has the Authority mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authoritys measurement report, as required by section 2824-a of Public Authorities Law and provide the URL?	www	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.cityofutica.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.cityofutica.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.cityofutica.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.cityofutica.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	
17. Has the board adopted a Uniform Tax Exemption Policy(UTEP) according to Section 874(4) of GML?	Yes	

Board of Directors Listing

Name	Vanderhoof, Angela	Name	Hobika Sr., Joseph H
Chair of Board	No	Chair of Board	Yes
If yes, Chairman Designated by.		If yes, Chairman Designated by.	Local
Term Start Date	03/07/2000	Term Start Date	08/12/1996
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No	Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other	Nominated By	Other
Appointed By		Appointed By	
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	No	Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No	Does the board member/designee also hold an elected or appointed municipal government Position?	No

Board of Directors Listing

Name	Pattarini, Nancy	Name	Martin, Emmett
Chair of Board	No	Chair of Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/01/2005	Term Start Date	02/01/2008
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No	Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other	Nominated By	Other
Appointed By		Appointed By	
Confirmed by Senate		Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes	Has the board member/designee signed the acknowledgement of fuduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	Yes	Complied with Training requirement of Section 2824 ?	Yes
Does the board member/designee also hold an elected or appointed state gove	No	Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No	Does the board member/designee also hold an elected or appointed municipal government Position?	No

Board of Directors Listing

Name	Buffa, John
Chair of Board	No
If yes, Chairman Designated by.	
Term Start Date	02/28/2008
Term Expiration Date	Pleasure of Authority
Title	
Has the Board member appointed a designee?	
Appointed By(Name of Ex-officio Board member)	No
Nominated By	Other
Appointed By	
Confirmed by Senate	
Has the board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with Training requirement of Section 2824 ?	No
Does the board member/designee also hold an elected or appointed state gove	No
Does the board member/designee also hold an elected or appointed municipal government Position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt Indicator	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the authority	If yes Is payment made by state or local government

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Buffa, John	Board of Directors												X	
Martin, Emmett	Board of Directors												X	
Vanderhoof, Angela	Board of Directors												X	
Hobika Sr., Joseph H	Board of Directors												X	
Pattarini, Nancy	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Membership	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this auth No

Name of Subsidiary/Affiliate	Status	Requested Changes
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Subsidiary/Affiliate Creation

Name of Subsidiary/Affiliate	Establishment Date	Entity Purpose
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Subsidiary/Affiliate Termination

Name of Subsidiary/Affiliate	Termination Date	Termination Reason	Proof Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$6,127
Investments	\$0
Receivables, net	\$0
Other assets	\$0
Total Current Assets	\$6,127
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$52,802
Capital Assets	
Land and other nondepreciable property	\$61,254
Buildings and equipment	\$3,121,790
Infrastructure	\$0
Accumulated depreciation	\$2,883,044
Net Capital Assets	\$300,000
Total Noncurrent Assets	\$352,802
Total Assets	\$358,929

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$53,545
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$5,086,527
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$5,140,072

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$5,140,072**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	(\$4,780,866)
Restricted	\$0
Unrestricted	(\$277)
Total Net Assets	(\$4,781,143)

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$82,656
Rental & financing income	\$109,884
Other operating revenues	\$0
Total Operating Revenue	\$192,540

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$35,869
Supplies and materials	\$0
Depreciation & amortization	\$10,315
Other operating expenses	\$173,876
Total Operating Expenses	\$220,060

Operating Income (Loss) **(\$27,520)**

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$244,660
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$244,660

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$244,660
Total Nonoperating Expenses	\$244,660
Income (Loss) Before Contributions	(\$27,520)
Capital Contributions	\$0
Change in net assets	(\$27,520)
Net assets (deficit) beginning of year	(\$4,753,623)
Other net assets changes	\$0
Net assets (deficit) at end of year	(\$4,781,143)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Adjustment to schedule of debt to reflect correct EOFY balance	Refunding	0.00	12/31/2010		Competitive	0	Fixed	1	0.00		
	New	13,179,677.00									
	Total	13,179,677.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	18,660,000.00	13,179,677.00	0.00	31,839,677.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.cityofutica.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.cityofutica.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

IDA Projects

1.

General Project Information

Project Code: 3002-10-02
Project Type: Straight Lease
Project Name: BUSINESS PARK ASSOCIATES FACILITY

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$5,162,000.00
Benefited Project Amount: \$5,162,000.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 10/12/2010
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2015
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 116 BUSINESS PARK DRIVE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 22
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 0
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: "116 BUSINESS PARK ASSOCIATES, LLC
Address Line1: 110 BUSINESS PARK DRIVE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

2.

General Project Information

Project Code: 3002-09-03
Project Type: Straight Lease
Project Name: COLONIAL SQUARE

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$3,925,000.00
Benefited Project Amount: \$3,925,000.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/08/2009
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2012
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$60,343
Local Sales Tax Exemption: \$71,657
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$2,200
Total Exemptions: \$134,200.00
Total Exemptions Net of RPTL Section 485-b: \$134,200.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$134,200

Location of Project

Address Line1: TRENTON ROAD
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 46
Average estimated annual salary of jobs to be created.(at current market rates): 34,171
Annualized salary Range of jobs to be created: 20,800 To: 49,920
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 18
of FTE Construction Jobs during fiscal year: 7
Net Employment Change: 18

Applicant Information

Applicant Name: "COLONIAL SQUARE, LLC"
Address Line1: 105 MAIN STREET
Address Line2:
City: WHITESBORO
State: NY
Zip - Plus4: 13492
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

3.

General Project Information

Project Code: 3002-07-04
Project Type: Straight Lease
Project Name: COURT STREET BUILDING

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$0.00
Benefited Project Amount: \$0.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/16/2007
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2017
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$6,527
Local Property Tax Exemption: \$14,483
School Property Tax Exemption: \$18,231
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$39,241.00
Total Exemptions Net of RPTL Section 485-b: \$39,241.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$2,154	\$2,154
Local PILOTS:	\$4,880	\$4,880
School District PILOTS:	\$6,016	\$6,016
Total PILOTS:	\$13,050	\$13,050

Net Exemptions: \$26,191

Location of Project

Address Line1: 430 COURT STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 100
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 143
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 143

Applicant Information

Applicant Name: "GVH REALTY, LLC"
Address Line1: 401 HERKIMER ROAD
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

4.

General Project Information

Project Code: 3002-08-02
Project Type: Straight Lease
Project Name: EMPIRE RECYCLING

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$4,000,000.00
Benefited Project Amount: \$4,000,000.00

Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 08/27/2007
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2017
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$2,450
Local Property Tax Exemption: \$5,436
School Property Tax Exemption: \$6,843
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$14,729.00
Total Exemptions Net of RPTL Section 485-b: \$14,729.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$808	\$808
Local PILOTS:	\$1,794	\$1,794
School District PILOTS:	\$2,258	\$2,258
Total PILOTS:	\$4,860	\$4,860

Net Exemptions: \$9,869

Location of Project

Address Line1: NORTH GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 56
Original Estimate of Jobs to be created: 8
Average estimated annual salary of jobs to be created.(at current market rates): 35,000
Annualized salary Range of jobs to be created: 30,000 To: 40,000
Original Estimate of Jobs to be Retained: 56
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 52
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: (4)

Applicant Information

Applicant Name: "EMPIRE RECYCLING OPERATINS, INC."
Address Line1: PO BOX 353
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

5.

General Project Information

Project Code: 3002-06-01
Project Type: Straight Lease
Project Name: HAGE & HAGE

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$0.00
Benefited Project Amount: \$0.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/01/2006
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2019
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$3,038
Local Property Tax Exemption: \$6,742
School Property Tax Exemption: \$8,487
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$18,267.00
Total Exemptions Net of RPTL Section 485-b: \$18,267.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$1,003	\$1,003
Local PILOTS:	\$2,225	\$2,225
School District PILOTS:	\$2,801	\$2,801
Total PILOTS:	\$6,029	\$6,029

Net Exemptions: \$12,238

Location of Project

Address Line1: 610 CHARLOTTE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 6
Original Estimate of Jobs to be created: 2
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 6
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 9
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 3

Applicant Information

Applicant Name: CWC-ZUZU LLC
Address Line1: 150 GENESEE STREET
Address Line2:
City: NEW HARTFORD
State: NY
Zip - Plus4: 13413
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

6.

General Project Information

Project Code: 3002-07-02
Project Type: Straight Lease
Project Name: HAMPTON INN

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$0.00
Benefited Project Amount: \$0.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/01/2007
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2012
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$18,080
Local Property Tax Exemption: \$40,120
School Property Tax Exemption: \$50,500
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$108,700.00
Total Exemptions Net of RPTL Section 485-b: \$108,700.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$108,700

Location of Project

Address Line1: NORTH GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 17
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 17
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 17

Applicant Information

Applicant Name: "MARSH ENTERPRISES, LLC"
Address Line1: 382 EAST SECOND STREET
Address Line2:
City: CORNING
State: NY
Zip - Plus4: 14830
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

7.

General Project Information

Project Code: 3002-10-01
Project Type: Straight Lease
Project Name: MANUFACTURING FACILITY

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$550,000.00
Benefited Project Amount: \$550,000.00
Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 08/12/2010
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2020
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$3,520
Local Sales Tax Exemption: \$4,180
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$3,000
Total Exemptions: \$10,700.00
Total Exemptions Net of RPTL Section 485-b: \$10,700.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$10,700

Location of Project

Address Line1: 36 WURZ AVENUE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 5
Average estimated annual salary of jobs to be created.(at current market rates): 25,000
Annualized salary Range of jobs to be created: 20,000 To: 30,000
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 25
of FTE Construction Jobs during fiscal year: 2
Net Employment Change: 25

Applicant Information

Applicant Name: "ESK REALTY, LLC"
Address Line1: PO BOX 3353
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

8.

General Project Information

Project Code: 3002-09-02
Project Type: Straight Lease
Project Name: MEMORIAL PARKWAY, LLC FACILITY

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$0.00
Benefited Project Amount: \$0.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/08/2009
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2014
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agree
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 106 MEMORIAL PARKWAY
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 110
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 139
of FTE Construction Jobs during fiscal year: 10
Net Employment Change: 139

Applicant Information

Applicant Name: "106 MEMORIAL PARKWAY, LLC"
Address Line1: 401 HERKIMER ROAD
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

9.

General Project Information

Project Code: 3002-92-01
Project Type: Bonds/Notes Issuance
Project Name: MET LIFE

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Finance, Insurance and Real Estate

Total Project Amount: \$7,715,000.00
Benefited Project Amount: \$7,715,000.00
Bond/Note Amount: \$7,715,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 01/01/2000
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/01/2000
or Leasehold Interest:
Year Financial Assistance is 2015
planned to End:
Notes: Insurance

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 125 BUSINESS PARK DRIVE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 180
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 200
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 200

Applicant Information

Applicant Name: THE WIDEWATERS GROUP
Address Line1: 125 BUSINESS PARK DRIVE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

10.

General Project Information

Project Code: 3002-99-03
Project Type: Bonds/Notes Issuance
Project Name: MUNSON-WILLIAMS-PROCTOR INSTITUTE

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$15,000,000.00
Benefited Project Amount: \$15,000,000.00
Bond/Note Amount: \$15,000,000.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: Yes
Date Project Approved: 01/01/1999
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/01/1999
or Leasehold Interest:
Year Financial Assistance is 2014
planned to End:
Notes: Other

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 310 GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 129
Original Estimate of Jobs to be created: 26
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 129
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 137
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 8

Applicant Information

Applicant Name: MUNSON-WILLIAMS PROCTOR INSTITUTE
Address Line1: 310 GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

11.

General Project Information

Project Code: 3002-08-01
Project Type: Straight Lease
Project Name: NEW HARTFORD SHEETE METAL

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$2,000,000.00
Benefited Project Amount: \$2,000,000.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 05/12/2008
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assitance is 2018
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$5,252
Local Property Tax Exemption: \$11,655
School Property Tax Exemption: \$14,670
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$31,577.00
Total Exemptions Net of RPTL Section 485-b: \$31,577.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agree
County PILOTS:	\$1,733	\$1,733
Local PILOTS:	\$3,846	\$3,846
School District PILOTS:	\$4,841	\$4,841
Total PILOTS:	\$10,420	\$10,420

Net Exemptions: \$21,157

Location of Project

Address Line1: 1821 BROAD STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 39
Original Estimate of Jobs to be created: 20
Average estimated annual salary of jobs to be created.(at current market rates): 27,000
Annualized salary Range of jobs to be created: 27,000 To: 27,000
Original Estimate of Jobs to be Retained: 20
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 49
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 10

Applicant Information

Applicant Name: "JAYCHLO, LLC"
Address Line1: 1821 BROAD STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

12.

General Project Information

Project Code: 3002-07-01
Project Type: Straight Lease
Project Name: NEW STANLEY THEATER, LLC

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$22,500,000.00
Benefited Project Amount: \$22,500,000.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 12/18/2006
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2011
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 261 GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 15
Original Estimate of Jobs to be created: 2
Average estimated annual salary of jobs to be created.(at current market rates): 161,210
Annualized salary Range of jobs to be created: 16,000 To: 16,250
Original Estimate of Jobs to be Retained: 15
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 17
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 2

Applicant Information

Applicant Name: "NEW STANLEY THEATER, LLC"
Address Line1: 261 GENESEE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

13.

General Project Information

Project Code: 3002-05-01
Project Type: Straight Lease
Project Name: NORTH UTICA SHOPPING CENTER

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Retail Trade

Total Project Amount: \$0.00
Benefited Project Amount: \$0.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 07/05/2005
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2010
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$1,356
Local Property Tax Exemption: \$3,009
School Property Tax Exemption: \$8,153
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$12,518.00
Total Exemptions Net of RPTL Section 485-b: \$12,518.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$678	\$678
Local PILOTS:	\$1,505	\$1,505
School District PILOTS:	\$4,076	\$4,076
Total PILOTS:	\$6,259	\$6,259

Net Exemptions: \$6,259

Location of Project

Address Line1: 50 AUERT AVENUE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 25
Original Estimate of Jobs to be created: 150
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 25
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 310
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 285

Applicant Information

Applicant Name: "AMA PROPERTIES, LLC"
Address Line1: PO BOX 175
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13503
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: Yes
There is no outstanding debt for this project: Yes
IDA does not hold title to the property: Yes
The project receives no tax exemptions: Yes

IDA Projects

14.

General Project Information

Project Code: 3002-05-02
Project Type: Bonds/Notes Issuance
Project Name: RCIL

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$2,683,700.00
Benefited Project Amount: \$2,683,700.00
Bond/Note Amount: \$2,600,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 11/17/2005
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2021
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 409 COLUMBIA STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 0
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: "RCIL, INC."
Address Line1: 409 COLUMBIA STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

15.

General Project Information

Project Code: 3002-09-01
Project Type: Straight Lease
Project Name: RHODES DRIVE BUILDING

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$2,850,000.00
Benefited Project Amount: \$2,850,000.00
Bond/Note Amount: \$600,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 01/01/2009
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2012
planned to End:
Notes:

Location of Project

Address Line1: 111 Business Park Drive
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Applicant Information

Applicant Name: THE INDIUM CORPORATION OF AMERICA
Address Line1: 111 BUSINESS PARK DRIVE
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$15,368
Local Property Tax Exemption: \$34,102
School Property Tax Exemption: \$42,925
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$92,395.00
Total Exemptions Net of RPTL Section 485-b: \$92,395.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$4,277	\$4,277
Local PILOTS:	\$9,342	\$9,342
School District PILOTS:	\$12,381	\$12,381
Total PILOTS:	\$26,000	\$26,000

Net Exemptions: \$66,395

Project Employment Information

of FTEs before IDA Status: 120
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 21,000
Annualized salary Range of jobs to be created: 18,000 To: 23,000
Original Estimate of Jobs to be Retained: 120
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 126
of FTE Construction Jobs during fiscal year: 5
Net Employment Change: 6

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

16.

General Project Information

Project Code: 3002-07-03
Project Type: Straight Lease
Project Name: SENIOR DEVELOPMENT

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$22,090,384.00
Benefited Project Amount: \$22,090,384.00
Bond/Note Amount: \$0.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 08/01/2007
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assistance is 2012
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$97,469
Local Property Tax Exemption: \$216,287
School Property Tax Exemption: \$272,246
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$586,002.00
Total Exemptions Net of RPTL Section 485-b: \$586,002.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$4,606	\$4,606
Local PILOTS:	\$10,060	\$10,060
School District PILOTS:	\$13,334	\$1,334
Total PILOTS:	\$28,000	\$16,000

Net Exemptions: \$558,002

Location of Project

Address Line1: TRENTON ROAD
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 5
Average estimated annual salary of jobs to be created.(at current market rates): 40,500
Annualized salary Range of jobs to be created: 25,000 To: 65,000
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 0
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: "TRENTON ROAD, LLC"
Address Line1: 400 JORDAN ROAD
Address Line2:
City: TROY
State: NY
Zip - Plus4: 12180
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

17.

General Project Information

Project Code: 3002-98-01
Project Type: Bonds/Notes Issuance
Project Name: UTICA COLLEGE

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Other Categories

Total Project Amount: \$9,295,000.00
Benefited Project Amount: \$9,295,000.00
Bond/Note Amount: \$9,295,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: Yes
Date Project Approved: 01/01/1998
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/01/1998
or Leasehold Interest:
Year Financial Assistance is 2013
planned to End:
Notes: College

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 1600 BURRSTONE ROAD
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 374
Original Estimate of Jobs to be created: 26
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 374
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 467
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 93

Applicant Information

Applicant Name: Utica College
Address Line1: 1600 Burrstone Road
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13501
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

18.

General Project Information

Project Code: 3002-99-04
Project Type: Bonds/Notes Issuance
Project Name: UTICA CONVERTERS

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$15,000,000.00
Benefited Project Amount: \$15,000,000.00
Bond/Note Amount: \$15,000,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 01/01/1999
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/01/1999
or Leasehold Interest:
Year Financial Assistance is 2014
planned to End:
Notes: Manufacturing

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$33,728
Local Property Tax Exemption: \$74,844
School Property Tax Exemption: \$94,208
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$202,780.00
Total Exemptions Net of RPTL Section 485-b: \$202,780.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$6,260	\$6,260
Local PILOTS:	\$13,891	\$13,891
School District PILOTS:	\$17,485	\$17,485
Total PILOTS:	\$37,636	\$37,636

Net Exemptions: \$165,144

Location of Project

Address Line1: 2214 WHITESBORO STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 180
Original Estimate of Jobs to be created: 20
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 26,400 To: 26,700
Original Estimate of Jobs to be Retained: 180
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 72
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: (108)

Applicant Information

Applicant Name: UTICA CONVERTERS INC.
Address Line1: 2214 WHITESBORO STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting:
There is no outstanding debt for this project:
IDA does not hold title to the property:
The project receives no tax exemptions:

IDA Projects

19.

General Project Information

Project Code: 3002-03-03
Project Type: Bonds/Notes Issuance
Project Name: UTICA MUTUAL INSURANCE, INC.

Project part of another phase or multi phase: No
Original Project Code:
Project Purposes Category: Finance, Insurance and Real Estate

Total Project Amount: \$6,620,000.00
Benefited Project Amount: \$6,620,000.00
Bond/Note Amount: \$0.00

Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No

Date Project Approved: 01/01/2003
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/01/2003

or Leasehold Interest:
Year Financial Assistance is 2018
planned to End:
Notes: Insurance

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

	Actual Payment Made	Payment Due Per Agreement
County PILOTS:	\$0	\$0
Local PILOTS:	\$0	\$0
School District PILOTS:	\$0	\$0
Total PILOTS:	\$0	\$0

Net Exemptions: \$0

Location of Project

Address Line1: 201-231 LAFAYETTE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 200
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 153
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 153

Applicant Information

Applicant Name: UTICA MUTUAL INSURANCE
Address Line1: 201-231 LAFAYETTE STREET
Address Line2:
City: UTICA
State: NY
Zip - Plus4: 13502
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: Yes
There is no outstanding debt for this project: Yes
IDA does not hold title to the property: Yes
The project receives no tax exemptions: Yes

IDA Projects Summary Information:

Total Number of Projects	Total Exemptions	Total PILOT Paid	Net Exemptions	Net Employment Change
19	\$1,251,109.0	\$132,254.0	\$1,118,855	990

Additional Comments: