



Corporation Counsel
Utica City Hall
1 Kennedy Plaza
Utica, NY 13502

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FOR
CITY OF UTICA
FOR

NAME AND ADDRESS

Last Name	Middle Initial	First Name
Title		
Department or Agency		
Department or Agency Address		Telephone Number

SPOUSE AND CHILDREN:

Provide the name of your spouse (if married) and the names of any dependent children.

Spouse
Child/Age
Child/Age
Child/Age



FINANCIAL INTERESTS:

“Reporting Category” for the purpose of completing the financial disclosure reports. No exact dollar amounts are to be included in the completion of the statements of financial disclosure; rather, all amounts are to be indicated using the following categories.

“A”	\$0 - \$5,000
“B”	\$5,001 - \$20,000
“C”	\$20,001 - \$60,000
“D”	\$60,000 - \$100,000
“E”	\$100,00+
None	Write “None” if section does not apply

BUSINESS POSITIONS:

List any office, trusteeship, directorship, partnership, or other position in any business, association, proprietary, or not-for-profit organization held by you and your spouse and dependent children, if any, and indicate whether these businesses are involved with the City in any manner.

Categories of Amount Will Remain Confidential

Family Member (if none write “none”)	Position	Organization	Involvement with City Yes/No	If Yes, City Dept. or Agency and Nature of Involvement	Reporting Category (See table above)

OUTSIDE EMPLOYMENT

Describe any outside occupation, employment, trade, business, or profession held by you, your spouse, and your dependent children, if any, which yielded income of more than \$1,000 during the reporting year, and indicate whether such activities are involved with or regulated by any City agency. Also, indicate any contract, promise or agreement for future employment with respect to your present employment with the City of Utica.

Categories of Amount Will Remain Confidential

Family Member (if none write “none”)	Position	Organization	Involvement with City Yes/No	If Yes, City Dept. or Agency and Nature of Involvement	Reporting Category (See table above)

INVESTMENTS

Itemize and describe all investments or capital stock above five percent (5%) share of ownership in any business, corporation, or partnership for you, your spouse and your dependent children, if any. List the location of all real estate within the City in which you, your spouse, or your dependent children personally own or have an interest through those holdings listed above with a value in excess of \$1,000. Do not list any real property which is the primary or secondary personal residence of you and your spouse, except where there is a co-owner who is other than a relative.

Categories of Amount Will Remain Confidential

Family Member (if none write "none")	Name/ Address of Business or Real Estate	Description of Investment	Involved with City Yes/No	City Dept. or Agency and Nature of Involvement	Reporting Category

OTHER INCOME

Identify the source and nature of any other income in excess of \$1,000 from any source not described above, including teaching income, lecture fees, consultant fees, contractual income, or income which you continue to receive from past employment, bank and bond interest, dividends, real estate rents, or other income of any nature, for you, your spouse and your dependent children, if any. Do not include maintenance received in connection with a matrimonial action, alimony and child support.

Categories of Amount Will Remain Confidential

Family Member (if none write "none")	Source of Other Income	Description	Reporting Category

THIRD PARTY REIMBURSEMENT, GIFTS AND HONORARIUMS

Identify and describe the source of any third-party (other than the City of Utica, or City Agency) reimbursement for travel-related expenditures in excess of \$250.00 for any matter that relates to your official duties. The term "reimbursement" includes any travel related expenses provided by anyone other than the City of City Agency for speaking engagements, conferences, or fact-finding events that relate to your official duties. List the source of all gifts aggregating in excess of \$250.00 received during the last year by you, your spouse or dependent children, excluding gifts from a relative. Gifts include cash, property, personal items, honoraria, forgiveness of debt and any other payments not reportable as income.

Categories of Amount Will Remain Confidential

Family Member (if none write "none")	Source	Description	Reporting Category

INTEREST IN CONTRACTS

Describe any interest of you, your spouse, or your dependent children, if any, in any contract involving the City or any City Agency.

Categories of Amount Will Remain Confidential

Family Member (if none write "none")	Interest in Contracts	Description	Reporting Category

DEBTS

Describe all debts of you, your spouse and dependent children in excess of \$5,000 as of December 31st of the preceding year. Do not list debts owed to a relative or debts incurred by or guarantees made by you or your spouse, or by any proprietorship, partnership or corporation in which you or your spouse has an interest when incurred or made in ordinary course of the trade, business or professional practice of you or your spouse. Do not include any obligation to pay maintenance in connection to the matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by financial institution to finance educational costs, the cost of home purchase or improvements for primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances, shall be excluded. If any such reportable liability has been guaranteed by a third person, list the liability and name of the guarantor.

Categories of Amount Will Remain Confidential

Family Member (if none write "none")	Name of Debtor	Name of Creditor	Reporting Category

I hereby certify, under penalties of perjury, that the information disclosed on this form is true and complete.

Signature

Date

Send this completed form to:

Corporation Counsel
 Utica City Hall
 1 Kennedy Plaza
 Utica, NY 13502